

# THE EVOLUTION OF BALMER ABSORPTION LINE STRENGTHS IN E/S0 GALAXIES FROM $Z=0$ TO $Z=0.83$ <sup>1</sup>

DANIEL D. KELSON<sup>2</sup>, GARTH D. ILLINGWORTH<sup>3</sup>, MARIJN FRANX<sup>4</sup>, AND PIETER G. VAN DOKKUM<sup>5</sup>

*Accepted by Astrophysical Journal Letters 21 March 2001*

## ABSTRACT

We present new results from a systematic study of absorption line strengths of galaxies in clusters approaching redshifts of unity. In this paper, we specifically compare the strengths of the high-order Balmer absorption features of  $H\gamma$  and  $H\delta$  in E/S0s in the four clusters Abell 2256 ( $z=0.06$ ), CL1358+62 ( $z=0.33$ ), MS2053–04 ( $z=0.58$ ), and MS1054–03 ( $z=0.83$ ). By comparing the correlation of Balmer line strength with velocity dispersion for E/S0s in the three clusters, we find moderate evolution in the zero-point of the  $(H\delta_A + H\gamma_A) - \sigma$  relation with redshift. The trend is consistent with passive evolution of old stellar populations. Under the assumption that the samples can be compared directly, we use single-burst stellar population synthesis models to constrain the last major occurrences of star-formation in the observed E/S0s to be  $z_f > 2.5$  (95% confidence). We have compared the evolution of the Balmer absorption with the evolution of the  $B$ -band fundamental plane and find that simple stellar population models agree very well with the data. While the best agreement occurs with a low value for  $\Omega_m$ , the data provide strong confirmation of the time-evolution in recent stellar population models.

*Subject headings:* galaxies: evolution, galaxies: elliptical and lenticular, galaxies: clusters: individual (Abell 2256, CL1358+62, MS2053–04, MS1054–03)

## 1. INTRODUCTION

The star-formation histories of early-type galaxies in distant clusters have been the focus of many recent studies (e.g., van Dokkum & Franx 1996, Bender, Ziegler, & Bruzual 1996, Ellis et al. 1997, Kelson et al. 1997, Ziegler & Bender 1997, van Dokkum et al. 1998, Pahre, de Carvalho, & Djorgovski 1998, Kelson et al. 2000c, Ziegler et al. 2000). While there is broad consensus is that E/S0 galaxies in distant clusters generally have old stellar populations, these results depend on the initial mass function (IMF), the cosmology, and the assumption that morphological evolution can be ignored. Whereas some of these effects can be taken into account using detailed models (see, e.g., van Dokkum & Franx 2001, Diaferio et al. 2000), the interpretation is ultimately limited by the accuracy of the population synthesis models upon which any conclusion is based.

These assumptions can now be tested by measuring absorption line strengths as a function of redshift (time) in early-type cluster galaxies. The "line strength" results can be compared to the evolution of colors and  $M/L$  ratios, and the correlations between various age indicators can be compared to predictions of stellar population synthesis models. Together they can significantly increase our confidence in the deduced star-formation histories, and in the models themselves.

In this *Letter* we present first results on a new study of galaxy absorption line strengths to redshifts approaching unity, and compare the measured evolution with the evolution of the galaxy  $M/L$  ratios, as derived from the fundamental plane (see van Dokkum et al. 1998, Kelson et al. 2000c and references therein). With this sample, we extend similar work by Bender et al. (1998) by a factor of two in redshift. Here we

present the first results using E/S0 galaxies in the clusters Abell 2256 ( $z=0.06$ ), CL1358+62 ( $z=0.33$ ), MS2053–04 ( $z=0.58$ ), and MS1054–03 ( $z=0.83$ ), and discuss the resulting constraints on the star-formation histories, IMF, cosmological parameters, and the stellar population synthesis models themselves.

## 2. THE DATA

The CL1358+62, MS2053–04, and MS1054–03 spectroscopic data presented in this paper were obtained at the W.M. Keck Observatory using the Low Resolution Imaging Spectrograph (Oke et al. 1995). The initial sample selection for the deep spectroscopy was based only on magnitude and preference for high-resolution spectroscopic follow-up was given to spectroscopically confirmed members (Fisher et al. 1998, Tran et al. 1999, van Dokkum et al. 2000, Tran et al. 2001, in prep.). Hubble Space Telescope WFPC2 images in F814W were used to determine morphological classifications (Fabricant, Franx, & van Dokkum 2000, van Dokkum et al. 2000, Fabricant et al. 2001, in prep.). In this paper we limit the discussion to the E/S0 galaxies, with more thorough discussion of the general cluster populations being prepared for a later paper.

The CL1358+62 spectroscopic data and their reduction were published in Kelson et al. (2000b). The MS2053–04 and MS1054–03 data were similarly processed. The spectroscopic reductions described in Kelson et al. (2000b) provided data of the quality required for measurement of absorption line strengths, with one additional step for removing atmospheric  $H_2O$  absorption at 6800 Å, 7600 Å, and 8200 Å. In each slit-mask we had included bright blue stars in order to accurately determine the telluric absorption in each exposure. The galaxy spectra presented in this paper have  $S/N$  ratios ranging from

<sup>1</sup>Based on observations obtained at the W. M. Keck Observatory, which is operated jointly by the California Institute of Technology and the University of California.

<sup>2</sup>Department of Terrestrial Magnetism, 5241 Broad Branch Rd, NW, Washington, DC 20015. Current address: OCIW, 813 Santa Barbara St, Pasadena, CA 91101; kelson@ociw.edu

<sup>3</sup>UCO/Lick Observatory, Univ. of California, Santa Cruz, CA 95064

<sup>4</sup>Leiden Observatory, P.O. Box 9513, NL=2300 R.A. Leiden, The Netherlands

<sup>5</sup>California Institute of Technology, Pasadena, CA

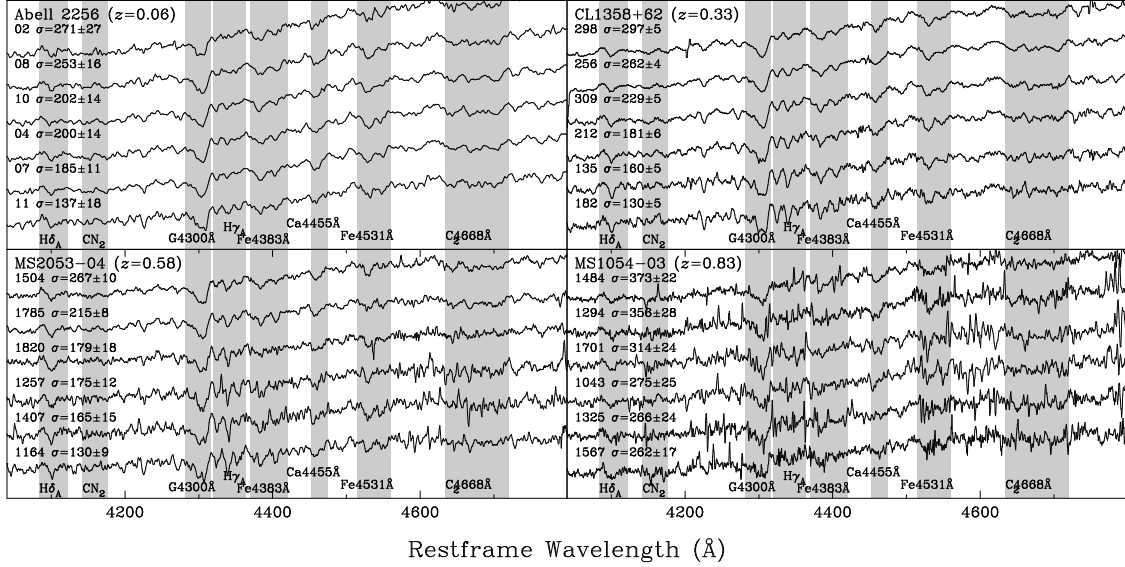


FIG. 1.— Representative spectra for galaxies in Abell 2256 ( $z=0.06$ ), CL1358+62 ( $z=0.33$ ), MS2053-04 ( $z=0.58$ ), and MS1054-03 ( $z=0.83$ ). The spectra, smoothed using a box width of 3 pixels, have been shifted to the restframe wavelengths, to illustrate several of the line strength bandpasses of the Lick/IDS system.

20-100 per Å (in the continuum). The resolution was typically  $\sigma_{inst} \sim 40$ -60 km/s. The data for the local comparison sample of 9 early-type galaxies in Abell 2256 were obtained at the KPNO 4m and these data were processed and analyzed using the same procedures as were used with the high- $z$  data in an effort to minimize possible systematic errors. By doing so, we avoid any systematic effects between our distant samples and those published in the literature (e.g., Terlevich et al. 1999, Kuntschner 2000). Figure 1 shows example spectra from each of the four clusters.

Velocity dispersions were measured using the direct fitting method of Kelson et al. (2000b). The raw velocity dispersions were corrected for aperture size to values equivalent to a  $D = 3''.4$  aperture at the distance of Coma using the prescription of Jørgensen et al. (1995). The corrections were +2.3%, +4.7%, +5.6% and +6.0% for the galaxies in A2256, CL1358+62, MS2053-04, and MS1054-03, respectively.

Absorption line strengths were measured using the Trager et al. (1998) definitions for the Lick/IDS indices. For this letter, we use the indices of the Balmer lines  $H\delta$  and  $H\gamma$  defined by Worthey & Ottaviani (1997), and will discuss the other indices in a later paper. The raw indices were corrected for both the instrumental broadening using the Worthey & Ottaviani (1997) estimates for the resolution of the Lick/IDS data, and for Doppler broadening.

Accurate estimation of the errors in absorption line strengths requires knowledge of the variance in the data due to noise (see, e.g., González 1993, Cardiel et al. 1998). In work on distant (i.e., highly redshifted) galaxies, the photon statistics and electronics noise is supplemented by localized sources of noise, such as sharp residuals from the subtraction of the bright OH emission lines of the background, and residual fringing not removed by the flat-fields. Therefore, we estimate the variances using the residuals between the observed spectra and the high-resolution model SED from Vazdekis (1999), suitably broadened to match the instrumental resolution and Doppler broadening of the galaxy spectrum, which provides the lowest  $\chi^2$  (as

a function of age and [Fe/H]). We assume the square of the residuals between the observed and model SEDs in each index and continuum bandpass accurately reflects the variance due to noise in the data, and the errors in the absorption line strengths are derived by propagating these variances. Monte Carlo simulations have verified that this method provides accurate error estimates.

Because the metric apertures from which the galaxy spectra were extracted are systematically larger at high redshift than at low redshift, the Balmer line strengths needed to be corrected to a consistent aperture size. Unfortunately no published set of aperture corrections exist yet for the  $H\gamma_A$  and  $H\delta_A$  line strengths. However, one can estimate the corrections by integrating the published mean major-axis  $H\gamma_A$  gradients of the E/S0 galaxies in Fornax (Kuntschner 1999), weighted by an  $r^{1/4}$ -law surface brightness profile, over a circular aperture. Utilizing the Worthey (1994) and Vazdekis et al. (1996) model prediction that  $H\delta_A + H\gamma_A = 1.9 \times H\gamma_A$ , we find that the required aperture corrections scale with effective aperture diameter as  $\Delta(H\delta_A + H\gamma_A) = (1.78 \pm 0.16) \Delta \log D_{ap}$ . One can also scale the  $Mg_2$  aperture correction prescription of Jørgensen et al. (1995) by the ratio of the gradients in  $(H\delta_A + H\gamma_A)$  and  $Mg_2$  and recover the same scaling. Using the above scaling of line strength with aperture size, we correct the line strengths to a nominal aperture of size  $D = 1''.23$  at the distance of CL1358+62 ( $z=0.33$ ). The resulting corrections were  $-0.57^{+0.05}_{-0.05}$  Å,  $0.17^{+0.01}_{-0.01}$  Å,  $0.20^{+0.02}_{-0.02}$  Å, for A2256, MS2053-04, and MS1054-03, respectively.

### 3. EVOLUTION OF THE BALMER LINE STRENGTHS

In Figure 2 we show the correlation between  $(H\delta_A + H\gamma_A)$  (Worthey & Ottaviani 1997) and velocity dispersion for E/S0 galaxies in A2256, CL1358+62, MS2053-04, and MS1054-03. For the large sample of early-type galaxies in CL1358+62, there is a well-defined correlation. This correlation spans a wide range of velocity dispersion and Balmer line strengths, from positive values (strong Balmer absorption) to negative values (weak Balmer absorption) which occur when the mean flux

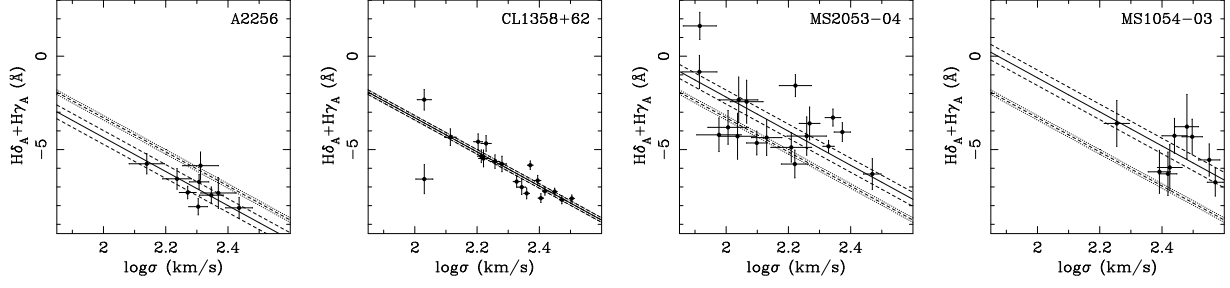


FIG. 2.— The  $(H\delta_A + H\gamma_A)$ - $\sigma$  relations for early-type galaxies in A2256 ( $z=0.06$ ), CL1358+62 ( $z=0.33$ ), MS2053-04 ( $z=0.58$ ), and MS1054-03 ( $z=0.83$ ). The best-fitting linear relation to the CL1358+62 early-type galaxies has a remarkably low scatter of 13% in velocity dispersion. While the scatters seen in A2256 and MS1054-03 are consistent with the observational errors, the observed scatter in MS2053-04 is  $\sim 50\%$  larger than expected from measurement errors alone. The current dataset indicates no statistically significant differences between ellipticals and S0s, in any of the clusters. In all four panels, we show the CL1358+62 relation with its zero-point error ( $1-\sigma$ ). Freezing the slope of the relation, we indicate the best-fit relations in the other two clusters using the solid lines, with the zero-point uncertainties indicated by dash lines. Note the mean shift to larger values of  $H\delta_A + H\gamma_A$  with redshift. Increasing values of these indices are the result of stronger Balmer line absorption at earlier epochs. The high order Balmer indices evolve significantly with time, providing empirical calibration of the index's sensitivity to age.

levels in the continuum side-bands are lower than the mean flux level within the index passbands.

The form of the best-fit linear relation in CL1358+62, shown with its  $\pm 1-\sigma$  zero-point uncertainties, is  $(H\delta_A + H\gamma_A) = (-9.1 \pm 1.0) \log[\sigma/(150 \text{ km/s})] - (4.9 \pm 0.1)$ . The CL1358+62 E/S0s exhibit a remarkably low scatter of  $0.50\text{\AA}$ , equivalent to 13% in velocity dispersion. When expressed in velocity dispersion, this scatter is as low as that in the fundamental plane (Kelson et al. 2000c). We have also derived the CL1358+62  $H\gamma_A$ - $\sigma$  relation and have compared it to the relation for Fornax E/S0s (using the published data of Kuntschner 2000). We find  $H\gamma_A \propto (-3.6 \pm 1.4) \log \sigma$  at  $z=0.33$  compared to  $H\gamma_A \propto (-4.0 \pm 1.5) \log \sigma$  in Fornax, with similarly low scatter. The lack of strong evolution in the slope of this correlation suggests that stellar population ages do not vary strongly along the sequence of early-type galaxies (similar to the conclusions drawn by Stanford, Eisenhardt, & Dickinson 1998, Kelson et al. 2000c).

As can be seen in Figure 2, the moderately large observational uncertainties and/or the small sample sizes in the current dataset prevent an accurate measurement of the slope of the correlation between Balmer absorption and central velocity dispersion in A2256, MS2053-04 and MS1054-03.

Given the similarity in the slopes of the Balmer line-velocity dispersion relations for Fornax and CL1358+62, we adopt the slope of the CL1358+62 relation for the remainder of the analysis and assume that only the zero-point evolves with

redshift. We determined the mean zero-points in the four clusters giving all points uniform weight, using the bi-weight location estimator (Beers, Flynn, & Gebhardt 1990).

The zero-points for the four clusters, normalized to galaxies with a dispersion of  $\sigma = 150 \text{ km/s}$ , are  $-5.94 \pm 0.20$ ,  $-4.86 \pm 0.13$ ,  $-3.76 \pm 0.38$ , and  $-2.72 \pm 0.41$  (in units of  $\text{\AA}$ ). The A2256, MS2053-04 and MS1054-03 early-type galaxies are offset in zero-point from CL1358+62 by  $\Delta(H\delta_A + H\gamma_A) = -1.08 \pm 0.24 \text{\AA}$ ,  $\Delta(H\delta_A + H\gamma_A) = 1.10 \pm 0.40 \text{\AA}$  and  $2.14 \pm 0.43 \text{\AA}$ , respectively. The offsets (see Figure 2) are significant, 4-, 3- and 5- $\sigma$ , and correspond to larger absorption at earlier epochs (i.e., younger ages), as expected.

Figure 3 shows the evolution of the  $(H\delta_A + H\gamma_A)$  zero-point as a function of redshift. Assuming the galaxy populations in all three clusters can be compared directly, we show the predictions of single burst stellar population models from Worthey (1994; updated in Trager et al. 2000) (shaded) and Vazdekis et al. (1996) (dashed lines). Models with star-formation redshifts of  $2 \leq z_f \leq 10$  are shown.

Because the model predictions can be simplified to functions of the form, e.g.,  $H\gamma_A = A + B \delta x + (C \delta x + D) \log t + E \log Z + F(\log Z)^2$ , to a high degree of accuracy, we can decouple the time-evolution of the indices from the metallicity of a given model (while also rendering the adoption of  $H_0 = 75 \text{ km/s/Mpc}$  unimportant). We find lower-limits of  $z_f > 2.9$  and  $z_f > 2.4$  (95% confidence limit) using the Worthey and Vazdekis models respectively, for  $\Omega_m = 0.3, \Omega_\Lambda = 0.7$ , similar to conclusions

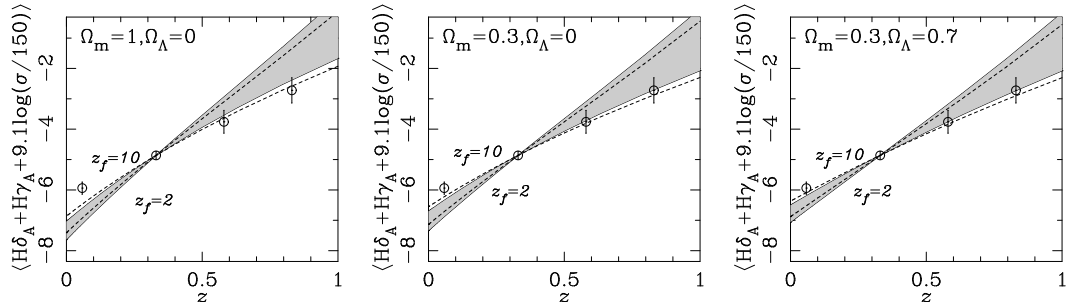


FIG. 3.— The  $(H\delta_A + H\gamma_A)$ - $\sigma$  zero-point evolution as a function of redshift, with single-burst stellar population models of Vazdekis et al. (1996) (dashed lines) and Worthey (1994; Trager et al. 2000) (shaded). The model curves, shown for formation epochs of  $2 \leq z_f \leq 10$ , are insensitive to the shape of the IMF. Together the data and models provide lower 95% confidence limits for the mean epoch of star-formation of  $z_f > 2.9$  and  $z_f > 2.4$  using the Worthey and Vazdekis models, respectively, for  $\Omega_m = 0.3, \Omega_\Lambda = 0.7$ .

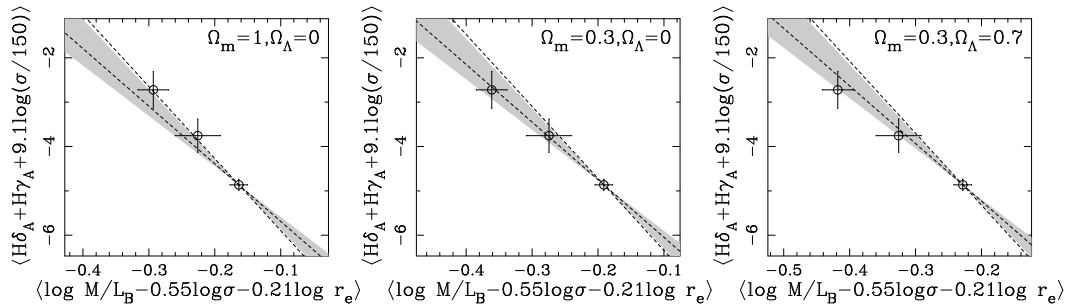


FIG. 4.— The  $(H\delta_A + H\gamma_A) - \sigma$  evolution plotted against  $M/L_B$  evolution, derived from the fundamental plane (Kelson et al. 1997, Kelson et al. 2000a,b,c, and van Dokkum et al. 1998). The predicted correlations of single-burst stellar population models of Vazdekis et al. (1996) (dashed lines) and Worthey (1994) (shaded) are also shown. The regions are defined by a range of slopes for the initial mass function  $0.85 \leq x \leq 1.85$ . Note: this projection of the models only assumes constant metallicity at fixed age and velocity dispersion, and does not depend on the assumption of co-evality.

drawn by past work (e.g., van Dokkum & Franx 1996, Bender, Ziegler, & Bruzual 1996, Kelson et al. 1997, van Dokkum et al. 1998). As is always the case in this type of study, the conclusions depend on the assumptions that the individual cluster samples are co-eval, and that morphological evolution can be ignored (see, e.g., van Dokkum & Franx 2001). Furthermore, we also remind the reader that early-type cluster galaxies may settle into their final configurations well after their last major epochs of star-formation leaving their morphological evolution decoupled from their star-formation histories (e.g., van Dokkum et al. 1999).

#### 4. COMPARISON WITH THE EVOLUTION OF $M/L$ RATIOS

In past work we have established the evolution of the  $M/L_B$  ratio for the same clusters using the fundamental plane relation (Kelson et al. 1997, van Dokkum et al. 1998, Kelson et al. 2000c) and we now compare the mean  $M/L_B$  evolution with that of the Balmer lines. The results are shown in Figure 4. Since the  $M/L$  ratios are sensitive to cosmological parameters, we show the results for several values of  $\Omega_m$  and  $\Omega_\Lambda$ .

The models agree very well with the observed correlation between the evolution of the Balmer line strengths and the  $M/L_B$  ratios. The slope of the predicted relation depends on the slope of the IMF through the  $M/L$  ratio evolution (e.g., Tinsley & Gunn 1976). Therefore, we show the Worthey (shaded) and Vazdekis (dashed lines) model predictions for a range of IMF slopes ( $0.85 \leq x \leq 1.85$ ) about the Salpeter (1955) value. For

standard IMFs and low values of  $\Omega_m$ , the models are consistent with our data (and the results of Bender et al. 1998).

While late-time star-bursts and/or morphological evolution can bias the observed redshift evolution of the various age indicators (e.g., van Dokkum & Franx 2001), each age-sensitive observable is affected similarly. Thus, comparisons like that in Figure 4 provide tests of the population synthesis models which are free from any assumption of coevality, and are more powerful than simple examinations of galaxy properties as functions of redshift alone.

By extending our analysis to more spectral indices and galaxies of later morphological type, we will better constrain the stellar and morphological histories of cluster galaxies (see, e.g., Kelson et al. 2000c). By doing so, we expect to quantitatively constrain the formation histories of distant galaxies, and better understand the evolution of cluster populations as a whole.

We thank I. Jørgensen for assistance in providing the Abell 2256 data, and G. Worthey for kindly making the most recently updated versions of the Worthey (1994) model programs available. We appreciate the effort of those at the W.M. Keck observatory who developed and supported the facility and the instruments that made this program possible. Lastly we acknowledge the anonymous referee, whose comments served to greatly improve the paper. Support from STScI grants GO05989.01-94A, GO05991.01-94A, and AR05798.01-94A, and NSF grant AST-9529098 is gratefully acknowledged.

#### REFERENCES

- Beers, T. C., Flynn, K., & Gebhardt, K. 1990, *AJ*, 100, 32  
 Bender, R., Saglia, R.P., Ziegler, B., Belloni, P., Greggio, L., Hopp, U., & Bruzual, G. 1998, *ApJ*, 493, 529  
 Bender, R., Ziegler, B., & Bruzual, G. 1996, *ApJ*, 463, L51  
 Cardiel, N., Gorgas, J., Cenarro, J., & González, J.J. 1998, *A&AS*, 127, 597  
 Diaferio, A., et al. 2000, *MNRAS*, submitted (astro-ph/0005485)  
 Ellis R. S., Smail, I., Dressler, A., Couch, W.J., Oemler, A., Butcher, H., & Sharples, R.M. 1997, *ApJ*, 483, 582  
 Fabricant, D.G., Franx, M., & van Dokkum, P.G. 2000, *ApJ*, astro-ph/0003360  
 Fabricant et al. 2001, in prep.  
 Fisher, D., Fabricant, D.G., Franx, M., & van Dokkum P.G. 1998, *ApJ*, 498, 195  
 González, J.J. 1993, Ph.D. thesis, Univ. Calif., Santa Cruz  
 Jørgensen I., Franx M., & Kjærgaard P. 1995, *MNRAS*, 276, 1341  
 Jørgensen I. 1999, *MNRAS*, 306, 607  
 Kelson, D.D. 1998, Ph.D. thesis, Univ. Calif., Santa Cruz  
 Kelson, D.D., van Dokkum, P.G., Franx, M., Illingworth, G.D., & Fabricant, D.G. 1997, *ApJ*, 478, L13  
 Kelson, D.D., Illingworth, G.D., van Dokkum, P.G., & Franx, M. 2000a, *ApJ*, 531, 137  
 Kelson, D.D., Illingworth, G.D., van Dokkum, P.G., & Franx, M. 2000b, *ApJ*, 531, 159  
 Kelson, D.D. Illingworth, G.D., van Dokkum, P.G., & Franx, M. 2000c, *ApJ*, 531, 184  
 Kuntschner, H. 1999, Ph.D. dissertation  
 Kuntschner, H. 2000, *MNRAS*, 315, 184  
 Oke, J.B., et al. 1995, *PASP*, 107, 375  
 Pahre, M. A., de Carvalho, R. R., & Djorgovski, S. G. 1998, *AJ*, 116, 1606  
 Salpeter, E.E. 1955, *ApJ*, 121, 161  
 Stanford, S.A., Eisenhardt, P.R., & Dickinson, M. 1998, *ApJ*, 492, 461  
 Terlevich, A.I., Kuntschner, H., Bower, R.G., Caldwell, N., & Sharples, R.M., 1999, *MNRAS*, 310, 445  
 Tinsley, B.M. & Gunn, J.E. 1976, *ApJ*, 203, 52  
 Trager, S.C., Worthey, G., Faber, S.M., Burstein, D., González, J.J. 1998, *ApJS*, 116, 1  
 Trager, S.C., Faber, S.M., González, J.J., & Worthey, G. 2000, *ApJ*, submitted  
 Tran, K.-V., Kelson, D.D., van Dokkum, P.G., Franx, G.D., & Magee, D. 1999, *ApJ*, 522, 39  
 Tran et al. 2001, in prep.  
 van Dokkum, P. G., & Franx M. 1996, *MNRAS*, 281, 985  
 van Dokkum, P. G., & Franx M. 2001, *ApJ*, submitted  
 van Dokkum, P. G., Franx, M., Kelson, D. D., & Illingworth, G. D. 1998, *ApJ*, 504, L17  
 van Dokkum, P. G., Franx, M., Fabricant, D., Kelson, D. D., & Illingworth, G. D. 1999, *ApJ*, 520, L95

- van Dokkum, P.G., et al. 2000, ApJ, 541, 95  
Vazdekis, A. 1999, ApJ, 513, 224  
Vazdekis, A., Casuso, E., Peletier, R.F., Beckman, J.E. 1996, ApJS, 106, 307  
Worthey, G. 1994, ApJS, 95, 107  
Worthey, G. & Ottavianni, D.L. 1997, ApJS, 111, 377  
Ziegler, B. L., & Bender, R. 1997, MNRAS, 291, 527  
Ziegler, B.L., Bower, R.G., Smail, I., Davies, R.L., & Lee, D., 2000, MNRAS, submitted